



NHPUC 9MAY18AM10:13

Electric Load Aggregation Application Form

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.02(a)	Legal Name	Trane Energy Choice, LLC
	Trade Name (d/b/a) (if applicable)	
Puc 2006.02(b)	Business Mailing Address	2790 Mosside Boulevard, Suite 840 Monroeville, PA 15146
	Telephone Number	412-372-2631
	E-Mail Address	kathi.kalp@trane.com
	Website Address (if applicable)	tranebuildingadvantage.com
Puc 2006.02(c)	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant, if an individual, or of the applicant's principal(s), if the applicant is anything other than an individual. Use additional sheets if your response exceeds the space provided on the form.	
	Name	Charles A. Lanager
	Title	Director, Energy Operations
	Business Mailing Address	2790 Mosside Boulevard, Suite 840 Monroeville, PA 15146
	Telephone Number	412-372-2631
	E-Mail Address	chuck.lanager@trane.com
	Name	Jim Maddigan
	Title	Department Leader, Procurement
	Business Mailing Address	2790 Mosside Boulevard, Suite 840 Monroeville, PA 15146
	Telephone Number	412-219-0219
	Email Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	

1 "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Customer Service Contact		
Puc 2006.02(d)	Name	Kathleen L. Kalp
	Title	Administrative Assistant
	Telephone Number	412-372-2631
	Toll-Free Telephone Number (if available)	855-275-1595
	E-Mail Address	kathi.kalp@trane.com

Customer Complaints Contact		
Puc 2006.02(e)(1)	Name	Kathleen L. Kalp
	Title	Administrative Assistant
	Business Mailing Address	2790 Mossdale Boulevard, Suite 840 Monroeville, PA 15146
	Telephone Number	412-372-2631
	E-Mail Address	kathi.kalp@trane.com

Regulatory Compliance Matters Contact		
Puc 2006.02(e)(2)	Name	Jim Maddigan
	Title	Department Leader, Procurement
	Business Mailing Address	2790 Mossdale Boulevard, Suite 840 Monroeville, PA 15146
	Telephone Number	412-219-0219
	E-Mail Address	jim.maddigan@trane.com

Commission Assessment Payments Contact		
Puc 2006.02(e)(3)	Name	Jim Maddigan
	Title	Department Leader, Procurement
	Business Mailing Address	2790 Mossdale Boulevard, Suite 840 Monroeville, PA 15146
	Telephone Number	412-219-0219
	E-Mail Address	jim.maddigan@trane.com

Separate Attachments: Business Authority and Trade Name	
Puc 2006.02(f)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.
Puc 2006.02(g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following: (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.

See Exhibit 1

See Exhibit 2



Statements Regarding Applicant and its Principals		
	Please respond to each of the following questions with either "Yes" or "No."	
Puc 2006.02(h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.02(h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.02(h)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	YES
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed.	

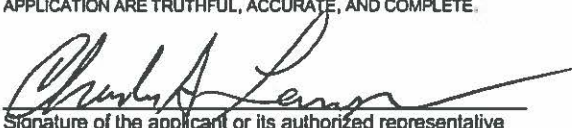
See Exhibit 3

Other States	
Puc 2006.02(i)	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.

See Exhibit 4

Statement Regarding Supplier Representation	
Puc 2006.02(j)	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?
	If the response to the preceding question is "Yes," please list the supplier(s) represented. Use additional sheets if needed.

Expected Marketing Start Date	
Puc 2006.02(k)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.
	CURRENTLY SERVING CUSTOMERS Date

Attestation and Signature	
Puc 2006.02(l) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.
	 Signature of the applicant or its authorized representative
	Name: CHARLES A. LANAGER
	Title: DIRECTOR, ENERGY OPERATIONS
	4/30/18 Date

Filing Instructions	
	1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301
	2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov

CHAIRMAN
Thomas B. Getz

COMMISSIONERS
Clifton C. Below
Amy L. Ignatius

EXECUTIVE DIRECTOR
AND SECRETARY
Debra A. Howland

THE STATE OF NEW HAMPSHIRE



PUBLIC UTILITIES COMMISSION
21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

Tel. (603) 271-2431

FAX (603) 271-3878

TDD Access: Relay NH
1-800-735-2964

Website:
www.puc.nh.gov

July 27, 2011

Peter C. Brown
10200 Forest Green Blvd., Suite 601
Louisville, KY 40223

Re: DM 11-118, Notification of Global Energy Market Services, LLC (GEMS)'s Intent to
Provide Electric Aggregation Service

Dear Mr. Brown:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received GEMS's notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.05. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.05. Based on Staff's recommendation and the Commission's review, the Commission approves GEMS's application to provide electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.05 (f): "[E]ach approved aggregator shall re-notify the commission after the initial 2 year period, or after the 5 year renewal period as applicable, by filing with the commission an application for renewal at least 60 days prior to the expiration of the currently effective registration." Therefore it is required to refile an up-to-date written notice on or before **May 27, 2013** if GEMS continues to offer and provide electric aggregation service. In addition, GEMS is subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.08, customer protections provided by aggregators.

If you have any questions regarding these provisions, please contact the Commission.
Thank you for your cooperation in this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Debra A. Howland".

Debra A. Howland
Executive Director

State of New Hampshire

Filing fee: \$35.00
Use black print or type.

Form FLLC-2
RSA 304-C:178

APPLICATION FOR AMENDED REGISTRATION FOR FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for an amended registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is: Global Energy Market Services, LLC

SECOND: The name the limited liability company is currently using in the state of New Hampshire is:
Global Energy Market Services, LLC

THIRD: The state or country of formation is: Delaware

FOURTH: The date the limited liability company was authorized to transact business in the state of New Hampshire is: May 18, 2011

FIFTH: This application is filed for the following reason(s) (complete all applicable items):

a. The limited liability company has changed its name to: Trane Energy Choice, LLC

b. The name the limited liability company will hereafter use in the state of New Hampshire is
changed to: Trane Energy Choice, LLC

c. The limited liability company has changed the state or country of its formation to:
n/a

*Signature: Evan M. Turtz

Print or type name: Evan M. Turtz

Title: Manager

Date signed: June 9, 2016

* MUST BE SIGNED BY A MANAGER IF THE LIMITED LIABILITY COMPANY HAS A MANAGER. IF NO MANAGER, MUST BE SIGNED BY A MEMBER. (If the limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.)

DISCLAIMER: All documents filed with the Corporation Division become public inspection in either tangible or electronic form.

Mail fee and DATED AND SIGNED ORIGINAL to: Corporation Division
Concord NH 03301-4989. Physical location: 25 Capitol Street, 3rd Floor

State of New Hampshire
Form FLLC 2 - Amendment to Application 1 Page(s)



T1616644043

PUC 2006.02(h)(5)

Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?

YES

1. OH – Authorization was suspended because the renewal application was submitted after the due date. License was reinstated on November 16, 2017.
2. PA – Authorization was suspended because surety bond was not received by PA PUC despite being sent by Trane Energy Choice. A new application to do business as an electric aggregator in PA was submitted and is in process. Approval is anticipated sometime in May 2018.

As of the date of this application, Trane Energy Choice, LLC is a licensed broker or aggregator in the following states:

California
Colorado
Delaware
District of Columbia
Illinois
Kentucky
Maine
Maryland
Massachusetts
New Hampshire
New Jersey
New York
Ohio
Oklahoma
Oregon
Rhode Island
Texas